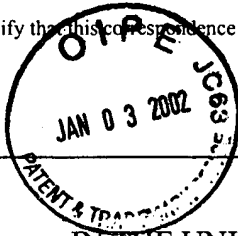


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PATENT
Docket No. 509622000400

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on January 3, 2002.



N. DeRiggi
N. DeRiggi

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Terry M. TURPIN et al.

Serial No.: 09/766,151

Filing Date: January 19, 2001

For: OPTICAL PROCESSOR ENHANCED
RECEIVER ARCHITECTURE (OPERA)

Examiner: not assigned

Group Art Unit: not assigned

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INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Box DD
Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.


Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 509622000400.

Dated: January 3, 2002

Respectfully submitted,

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